

Supplementary Materials for Sasaki and Yoshimoto

1. Forests in Cambodia

The Cambodian government defines forests for the United Nations Convention on Climate Change (UNFCCC) as land having forest canopy cover of 10% and tree height of 5 m and estimated that forests covered 10.9 million ha or 59.1% of the country's total land area in 2006 (Technical Working Group on Forestry and Environment, 2007) declining from 11.3 million ha in 2002. Total forest cover is comprised of deciduous forest (43.2%), evergreen forest (33.8%), semi-evergreen or mixed forest (12.5%), and mangrove and freshwater-flooded forests (8.9%). Wood shrubland, bamboo, and forest plantations cover only a small proportion of the total forest area (Fig. SM1). Because deforestation and uncontrolled logging continue, many important tree species in Cambodia have been placed on the International Union for Conservation of Nature (IUCN) Red List (So, 2004). In addition to these important tree species, Cambodia's forests are also home to a unique but critically endangered species of Kouprey or wild cow (*Bos sauveli*).

By law, commercial logging is allowed only in evergreen, mixed, and deciduous forests. Because of their ecological, social, and environmental importance, mangrove and freshwater-flooded forests were designated as protected areas by Royal Decree in 1993, along with an additional 3.1 million ha of forests. As of 2010, this protection was still in effect. At present, there are 16 forest concessions covering a total of 3.4 million. The remainder is for unspecified purposes, such as for population resettlement and for plantations of rubber, teak, oil palm, *eucalyptus* or *acacia*, and other industrial crops. A selective felling cycle of 25 years is allowed in Cambodia. In response to illegal logging (67% of all harvested timber as reported by DAI [1998]), the lack of technical capability, and the suggestions of the international community, logging operations in Cambodia were temporarily suspended in 2002, and this suspension remains in effect today. However, as a result of the lack of proper ownership rights to protect the suspended forest concessions coupled with an increase in unregulated land concessions granted for industrial crop plantations (MAFF, 2010), land clearing and anarchic land encroachments have been reported across the country (Ty, 2005).

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34 Prior to the late 1980s, Cambodian forests were fully stocked with highly commercial
35 timber species (in terms of tree species composition, stem density, and stand volume)
36 because of inaccessibility as a result of prolonged decades of civil wars, landmines, and
37 the total lack of rural security. Coincident with the logging ban in Thailand and the
38 withdrawal of Vietnamese troops from Cambodia in 1989, logging by all Cambodian
39 fighting factions intensified along the borders with Thailand, Laos, and Vietnam. Since
40 the 1991 Paris Peace Accord ending the civil wars in Cambodia, internationally
41 supported industrial logging activities began, and almost all of the highly valued forests
42 were logged intensively at highly unsustainable logging rates (World Bank, 2006).
43 Cambodian forests are owned by the government's Forestry Administration (FA), and
44 the FA grants concession rights to logging companies through public bidding. The FA
45 also recognizes prescribed access and use rights of local and indigenous communities
46 (FA, 2006). About 92% of the Cambodian population lives in rural areas and depends
47 on fuel wood from forests for daily cooking and warmth; this makes forests an
48 important resource for sustainable development in Cambodia. The success of future
49 REDD-plus agreements in Cambodia as well as in other developing countries depends
50 on taking into account the needs of the rural population.

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52 Due to its high stocks of commercial and valuable timber species compared to mixed
53 and deciduous forests (Kim Phat et al. 2000, 2002a, 2002b), a large area of evergreen
54 forest has been logged legally and illegally over the last three decades. Such
55 unsustainable logging has caused rapid degradation, and in the worse case, the loss of
56 evergreen forest to industrial crop plantations.

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58 This report has been prepared as supplemental material to the published article. In this
59 supplemental report we describe the forest inventory data, data analysis, and results for
60 use in the main article.

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Fig. SM1 – Location of forests and the study site in Sandan District, Cambodia

2. Data Processing

2.1. Forest Inventory Data

With the support of the Food and Agriculture Organization of the United Nations (FAO), the Department of Forestry and Wildlife (later renamed to the Forestry Administration) conducted the first national forest inventory in 1996 to assess forest resources in Cambodia (DFW and FAO, 1996). A cluster inventory system was employed covering an area of evergreen forest of 162,121.8 ha in Sandan district of Kampong Thom province (between 12°11'23"–13°26'52"N and 104°12'49"–105°44'20"E) (Fig. SM1).

100 Clusters were systematically located on 4 × 4 km grid lines, and a total of 23 clusters
 101 were successfully inventoried. Each cluster contains nine plots (Fig. SM2), in each of
 102 which trees with diameter at breast height (DBH) of 5.0–9.9 cm, 10–29.9 cm, and ≥30
 103 cm were measured in subplot sizes of 10 × 10, 20 × 20, and 60 × 20 m, respectively
 104 (Table SM1). Basal area and volume for each tree were calculated by the FA with the
 105 assistance of the FAO (DWF and FAO, 1996). About 54.2% of all counted trees were
 106 identified in 23 families, and the rest (45.8%) were not identified or were unknown. On
 107 average, stem density and stand volume were estimated to be 1105.6 trees ha⁻¹ (standard
 108 error [SE] 53.8) and 235.4 m³ ha⁻¹ (SE 17.5), respectively (Table SM2). We revisited
 109 these data and classified all trees to five tree grades according to their wood durability
 110 and utilization, as required by Sub-Decree 050 of the Cambodian Ministry of
 111 Agriculture, Forestry, and Fisheries (MAFF, 1986) for the purpose of timber royalty
 112 collection. These tree grades include luxury (GLT), first (G1T), second (G2T), third
 113 (G3T), and out of (OGT) grades. OGT indicates unidentified species of trees not on the
 114 official list of Cambodian tree species. All known tree species in each grade are given in
 115 Table SM3.

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117 Table SM1 – Tree recording procedure in each sample plot

Dimension (m)	Subplot		DBH of measured trees (cm)
	Area (ha)		
10 × 10	0.01		5.0–9.9
20 × 20	0.04		10.0–29.9
60 × 20	0.12		Greater than 30

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119 Note: there are 9 plots of 60 x 20 m per cluster

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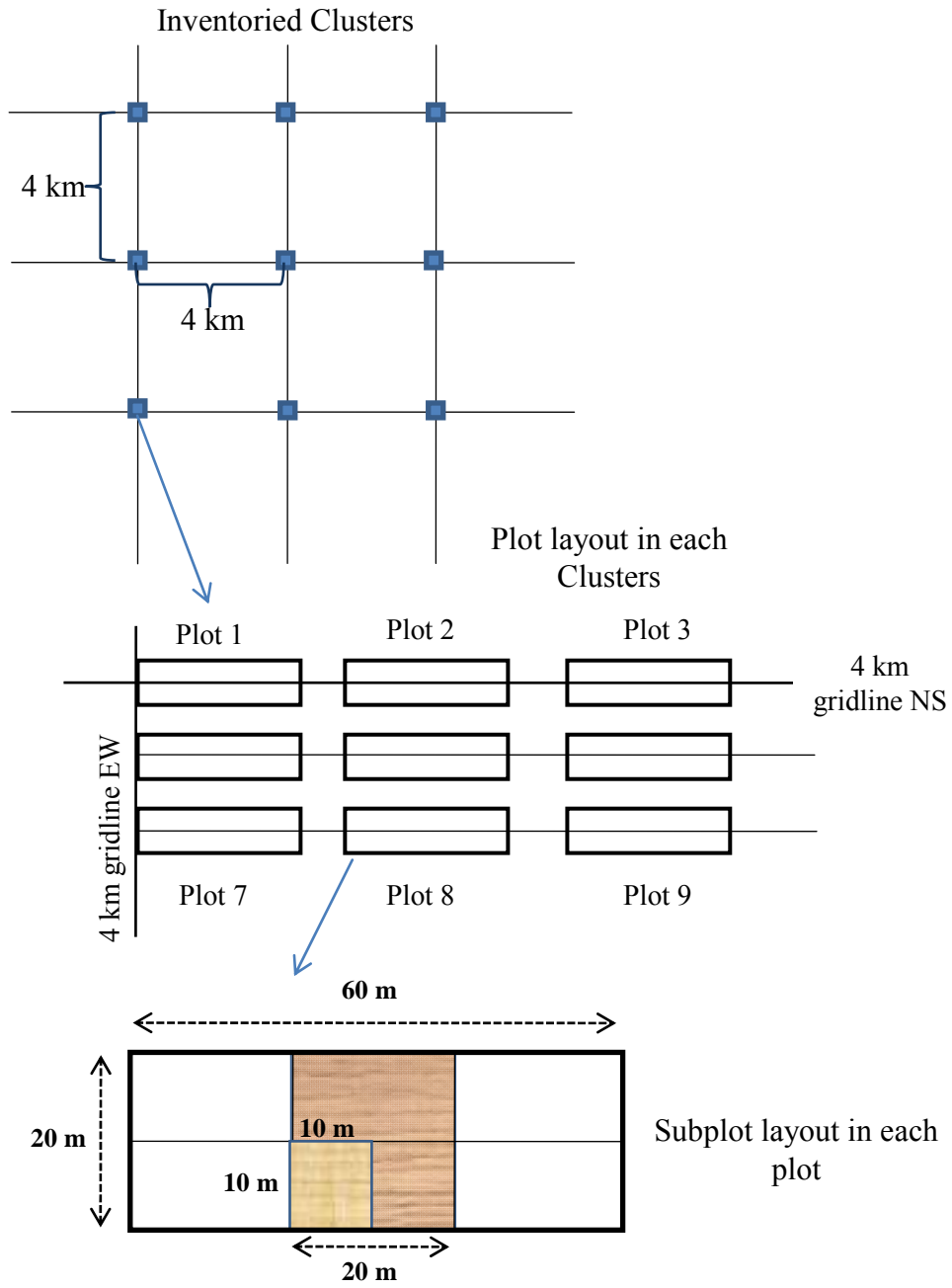


Fig. SM2 – Cluster, plot, and subplot layouts

152 Table SM2 – Average stem density and stand volume by families in an evergreen forest
 153 in the Sandan District

Tree Family	5 cm≤DBH<45 cm				DBH≥45 cm				Total (DBH≥5 cm)			
	Density		Volume		Density		Volume		Density		Volume	
	trees	%	m3	%	trees	%	m3	%	trees	%	m3	%
Unknown species	498.7	46.4	34.2	35.2	7.6	24.3	25.2	18.2	506.4	45.8	59.4	25.2
Dipterocarpaceae	179.5	16.7	22.3	23.0	14.6	46.5	85.9	62.0	194.1	17.6	108.2	46.0
Myrtaceae	136.6	12.7	13.5	13.9	1.8	5.7	4.0	2.9	138.4	12.5	17.6	7.5
Ebenaceae	88.2	8.2	3.3	3.4	0.1	0.3	0.2	0.1	88.3	8.0	3.4	1.5
Euphorbiaceae	47.8	4.4	3.6	3.7	0.5	1.7	0.9	0.6	48.3	4.4	4.5	1.9
Caesalpinaceae	22.7	2.1	3.9	4.1	1.9	6.1	6.3	4.6	24.5	2.2	10.2	4.3
Clusiaceae	14.4	1.3	2.0	2.1	0.5	1.6	1.0	0.7	14.8	1.3	3.0	1.3
Meliaceae	12.7	1.2	0.7	0.7	0.0	0.0	0.0	0.0	12.7	1.1	0.7	0.3
Lauraceae	11.6	1.1	1.3	1.4	0.0	0.0	0.0	0.0	11.6	1.0	1.3	0.6
Rosaceae	10.9	1.0	3.0	3.1	2.1	6.8	6.8	4.9	13.0	1.2	9.8	4.1
Rhizophoraceae	10.2	0.9	1.5	1.5	0.0	0.1	0.1	0.1	10.2	0.9	1.6	0.7
Crypteroniaceae	8.7	0.8	2.8	2.9	0.5	1.6	1.4	1.0	9.2	0.8	4.2	1.8
Sapotaceae	7.4	0.7	1.6	1.6	0.7	2.3	2.1	1.5	8.2	0.7	3.6	1.5
Sterculiaceae	5.8	0.5	1.3	1.3	0.7	2.3	4.2	3.0	6.6	0.6	5.5	2.3
Hypericaceae	5.7	0.5	0.6	0.7	0.0	0.0	0.0	0.0	5.7	0.5	0.6	0.3
Fagaceae	3.2	0.3	0.2	0.2	0.0	0.0	0.0	0.0	3.2	0.3	0.2	0.1
Anacardiaceae	3.1	0.3	0.3	0.3	0.0	0.0	0.0	0.0	3.1	0.3	0.3	0.1
Lythraceae	2.6	0.2	0.3	0.3	0.1	0.3	0.2	0.1	2.7	0.2	0.4	0.2
Moraceae	1.6	0.2	0.3	0.3	0.0	0.1	0.1	0.1	1.7	0.2	0.4	0.2
Mimosaceae	1.4	0.1	0.1	0.1	0.0	0.0	0.0	0.0	1.4	0.1	0.1	0.0
Ochanaceae	0.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.0	0.0	0.0
Verbenaceae	0.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.5	0.0	0.0	0.0
Combretaceae	0.3	0.0	0.2	0.2	0.1	0.2	0.2	0.1	0.4	0.0	0.3	0.1
Anonaceae	0.1	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.0	0.0	0.0
Total	1074.3	100.0	96.9	100.0	31.4	100.0	138.6	100.0	1105.6	100.0	235.4	100.0
Standard Error (from all clusters)									53.8		17.5	

154 Modified from Kim Phat et al. (2000); DBH, diameter breast height.

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156 2.2. Mean Density, Basal Area, and Volume

157 We used the following equation to obtain the average values per hectare for stem
 158 density, basal area, and stand volume for all trees in five grades by DBH classes:

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$$\bar{X}_{ij} = \sum_{j=1}^3 \frac{\sum_{i=1}^n X_{ij}}{\text{subplot}_k \times 9 \times 23}, \quad (1)$$

160 where \bar{X}_{ij} is the average stem density (trees ha⁻¹), basal area (m² ha⁻¹), or stand
 161 volume (m³ ha⁻¹) of all tree species in grade *i* (GLT, G1T, G2, G3T, or OGT) of DBH
 162 class *j* (5.0–9.9, 10–29.9, or ≥30 cm) of subplot *k* (0.01, 0.04, or 0.12 ha); X_{ij} is the

163 number of trees, basal area, or stand volume of all counted trees in a subplot
 164 corresponding to DBH class j in all 23 clusters.

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166 2.3. Tree Grades and Timber Royalty

167 Cambodia classifies tree species into five grades as mentioned above. Only in
 168 exceptional cases where unavoidable felling is required, such as for the safety of forest
 169 road construction, other logging operations, or for necessary scientific research, may
 170 GLT trees be cut, provided that prior permission from the FA is obtained. Timber
 171 royalty is the payment made by logging companies (forest concessionaires) to the
 172 Cambodian government (owner of forest resources) for the right to harvest and is
 173 generally based on a unit rate of commercial timber harvested. Currently, Cambodia
 174 uses fixed rates for timber royalties that vary from \$20.0 m⁻³ for OGT to \$60 m⁻³ for
 175 G1T and to \$210 m⁻³ for GLT (Table SM3).

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177 Table SM3 – Tree grades and timber royalty rates in Cambodia

Category of WP	Major Species	Royalty (US\$ m ⁻³)
Luxury Grade (GLT)	<i>Albizia lebbek</i> , <i>Cassia garretiana</i> , <i>Cassia siamealpinées</i> , <i>Dalbergia bariensis</i> , <i>Dalbergia cochinchinensis</i> , <i>Dasymachalon lamentaceum</i> , <i>Diospyros bejaudi</i> , <i>Diospyros</i> <i>helferi</i> , <i>Diosyros</i> sp., <i>Disoxylon Loureiri</i> , <i>Fagraea fragrans</i> <i>Melanorrhoea laccifera</i> , <i>Pahudia cochinchinensis</i> , <i>Pterocarpus pedatus</i>	112–210.00
Grade I (G1T)	<i>Afzelie bijuga</i> , <i>Artocarpus sempervirens</i> , <i>Ceriops</i> <i>roxburghiana</i> , <i>Chukrasia tabularis</i> , <i>Crudia chrysantha</i> , <i>Dialium cochinchinensis</i> , <i>Hopea ferrea</i> , <i>Hopea helfera</i> , <i>Hopea odorata</i> , <i>Hopea recopei</i> , <i>Lagerstroemia</i> sp., <i>Litsea</i> <i>veng</i> , <i>Manikora Alexandra</i> , <i>Mesua ferrea</i> , <i>Peltophorum</i> <i>dasyrachis</i> , <i>Peltophorum ferrugineum</i> , <i>Pentacme</i> <i>Siamensis</i> , <i>Shorea obtusa</i> , <i>Sindora cochinchinensis</i> , <i>Stereospermum cheloneoides</i> , <i>Tarrietia javanica</i> , <i>Tectona</i> <i>grandis</i> , <i>Terminalia tomentosa</i> , <i>Vitex</i> sp., <i>Xylia</i> <i>dolabriformis</i>	60.00
Grade II (G2T)	<i>Adina cordifolia</i> , <i>Anisoptera glabra</i> , <i>Dacrydium élatum</i> , <i>Diptérocarpus costatus</i> , <i>Diptérocarpus alatus</i> , <i>Diptérocarpus dyeri</i> , <i>Diptérocarpus intricatus</i> , <i>Diptérocarpus jourdainii</i> , <i>Diptérocarpus obtusifolius</i> , <i>Diptérocarpus tuberculatus</i> , <i>Hassia cuneata</i> , <i>Hopea pierre</i> <i>Payena elliptica</i> , <i>Pinus merkusii</i> , <i>Podocarpus cupnessina</i> , <i>Shorea hypochra</i> , <i>Shorea</i> sp., <i>Shorea thorelli</i> , <i>Shorea</i> <i>vulgaris</i> , <i>Toona febrifuga</i> , <i>Vatica astrotricha</i> , <i>Vatica</i> <i>philastreana</i>	40.00
Grade III (G3T)	<i>Aglaia gigantia</i> , <i>Albizia thorelli</i> , <i>Aquilaria crasna</i> , <i>Artocarpus altilus</i> , <i>Callophyllum</i> sp., <i>Calophyllum</i> <i>saigonensis</i> , <i>Carallia lucida</i> , <i>Cinnamomum litsaefolium</i> , <i>Cratoxylon prunifolium</i> , <i>Cryptéronia pani culata</i> , <i>Eugenia</i> sp., <i>Garcinia schomburghiana</i> , <i>Gercinia ferrea</i> , <i>Homalium</i>	32.00

annamensis, Hydnocarpus anthelmitica, Kayea engeniafolia, Knema coricisa, Mangifera indica, Melaleuca leucadendron, Parinari annamensis, Sandoricum indicum, Sarcocephalus cordatus, Sterculia campanulata Swintonia Pierre, Termanlia chebula, Terminalia mucronata, Tetramels nudiflora, Tout palétuvier sauf Smé

Out of Grade All unknown species (species not in the existing list) 20.00
(OTG)

178 Note: Royalty rates are based on Decision Number 100 of 27 February 1995, co-signed
179 by the Ministry of Finance and Economics and the Ministry of Agriculture, Forestry,
180 and Fisheries.

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182 2.4. Converting Stand Volume to Carbon Stock

183 Total carbon stock (aboveground and belowground) can be calculated from stand
184 volume, using Brown's (1997) equation as follows:

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$$186 \quad CS_{ij} = V_{ij} \times BEF \times WD \times C \times (1 + \alpha) \quad , \quad (2)$$

187

188 where CS_{ij} is the carbon stock of tree grade i in DBH class j (tonne C ha⁻¹), V_{ij} is the
189 stand volume (m³ ha⁻¹), BEF is the biomass expansion factor ($BEF = 1.74$ [Brown,
190 1997]), WD is the wood density ($WD = 0.57$ [Brown, 1997]), C is the carbon content in
191 dry wood ($C = 0.5$), and α is the percentage of understory vegetation, woody vines,
192 deadwood and debris, and root to the aboveground carbon. Because these proportions
193 are not available for forests in Cambodia, assumptions are based on studies in other
194 tropical forests. The proportion of understory vegetation (DBH <10 cm) was estimated
195 to be about 5.0–5.3% (DeWalt and Chave, 2004; Nascimento and Laurance, 2002),
196 woody vines to be 2.1% (Nascimento and Laurance, 2002), deadwood and debris to be
197 9% (Marklund and Scheone, 2006), and root to be 29% (Marklund and Scheone, 2006).
198 For this study, we assumed values of 2% (because trees with DBH ≥ 5 cm were counted
199 in this study), 2%, 9%, and 29%, respectively, giving $\alpha = 0.42$ or 42%. Because of its
200 high sensitivity to warming (Knorr et al., 2005), carbon in soils was not considered.
201 Estimated carbon stocks by DBH classes and timber grades are given in Table SM4.

202

203 3. Results

204 Mature trees described in the following sections as well as in the main manuscript are

205 determined in accordance with the DBH minimum size for harvesting: all trees with
206 DBH greater than the DBH minimum size are considered to be mature trees, of which
207 30% are then available for harvest. Based on the tree list in Sub-Decree 050, all GLT
208 have a DBH minimum size of 45 cm (if harvesting is allowed). The average DBH
209 minimum size for 25 (G1T) and 23 (G2T) tree species in Sub-Decree 050 are 43.6 cm
210 and 45.4 cm, respectively. We therefore assumed a DBH minimum size of 45 cm for
211 both grades. The average DBH minimum size of 31 tree species for grade 3 trees listed
212 in Sub-Decree 050 is 37.6 cm. For this study, we assumed a DBH minimum size of 40
213 cm for both G3T and OGT.

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215 On average 709.2 trees ha⁻¹ were recorded with DBH of 5.0–9.9 cm (Fig. SM3). Trees
216 of GLT, G1T, G2T, G3T, and OGT accounted for 9.0, 5.6, 15.3, 17.4, and 52.8%,
217 respectively. On average 312.2 trees ha⁻¹ with DBH between 10.0 and 29.9 cm were
218 recorded, of which the percentage of trees in the above grades were 4.1, 5.0, 24.2, 22.6,
219 and 44.2%, respectively. For trees with DBH ≥30 cm, 84.2 trees were recorded, of
220 which 1.0, 11.6, 34.9, 22.2, and 30.2% were in GLT, G1T, G2T, G3T, and OGT,
221 respectively. Of the averaged total of 1091.4 trees ha⁻¹, GLT, G1T, G2T, G3T, and OGT
222 accounted for 7.1, 5.8, 19.1, 19.2, and 48.9%, respectively (Fig. SM3). Of the total of
223 1,105.6 trees ha⁻¹ recorded, there were 38.8 mature trees distributed at 0.2, 4.2, 15.3, 7.4,
224 and 11.7 tree ha⁻¹ for each of the above grades.

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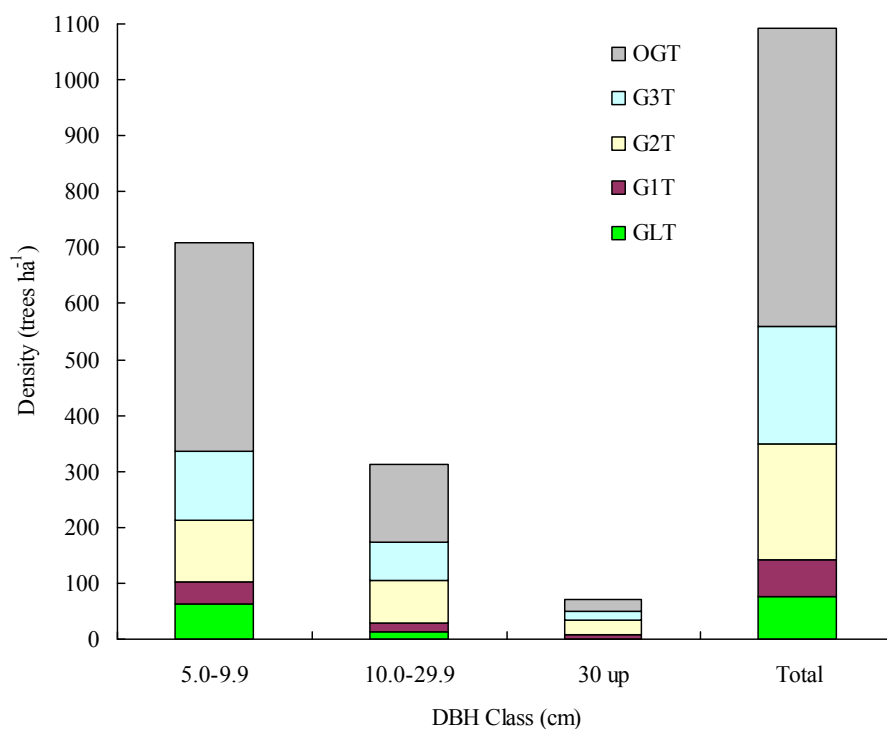
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Fig. SM3 – Tree density distribution by tree grades and DBH classes

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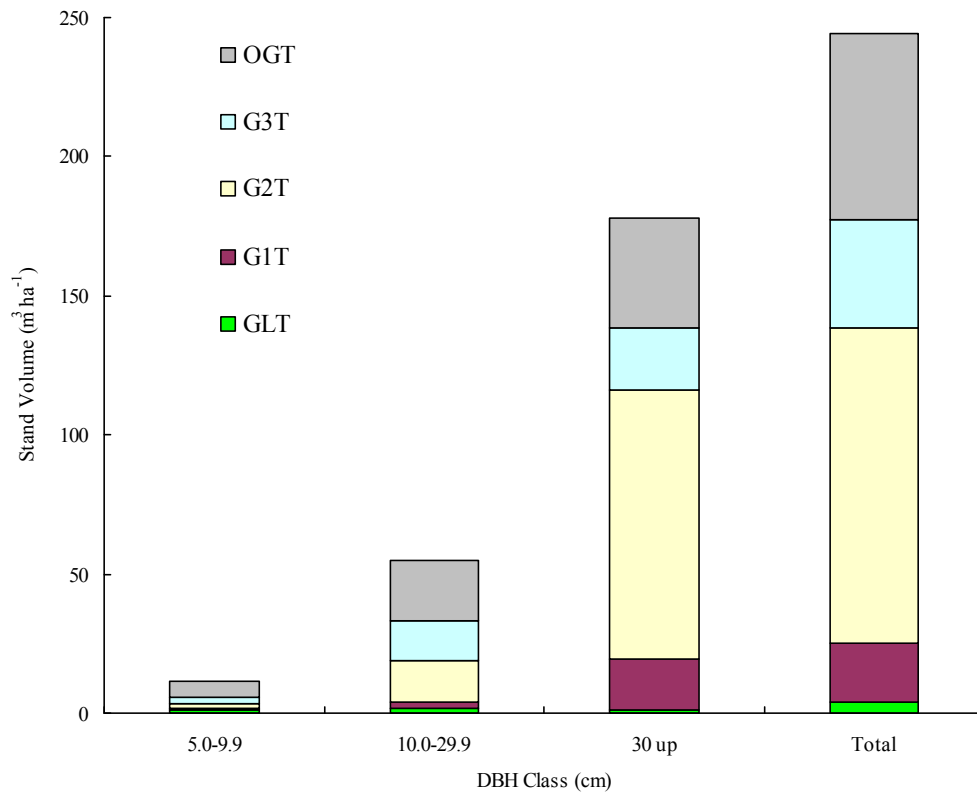
236 In terms of basal area, GLT, G1T, G2T, G3T, and OGT accounted for 8.6, 6.1, 16.2,
 237 17.8, and 51.4% of the $2.7 \text{ m}^2 \text{ ha}^{-1}$ for trees with DBH between 5.0 and 9.9 cm; 2.9, 5.0,
 238 26.3, 24.7, and 41.1% of the $7.6 \text{ m}^2 \text{ ha}^{-1}$ for trees with DBH between 10.0 and 29.9 cm;
 239 and 0.7, 10.5, 49.0, 15.0, and 24.8% of the $15.7 \text{ m}^2 \text{ ha}^{-1}$ for trees with $\text{DBH} \geq 30 \text{ cm}$. In
 240 total, the average basal area was $22.3 \text{ m}^2 \text{ ha}^{-1}$, of which mature trees composed 49.1%.

241

242 The total average stand volume was $244.5 \text{ m}^3 \text{ ha}^{-1}$, distributed as 4.8 (DBH class
 243 5.0–9.9 cm), 22.6 (10.0–29.9 cm), and 72.7% ($\geq 30 \text{ cm}$). Specifically, GLT, G1T, G2T,
 244 G3T, and OGT as follows: 1.0, 0.7, 1.9, 2.1, and 6.0, respectively, of the $11.7 \text{ m}^3 \text{ ha}^{-1}$
 245 for 5.0–9.9 cm DBH; 1.5, 2.7, 14.7, 14.2, and 22.1, respectively, of the $55.2 \text{ m}^3 \text{ ha}^{-1}$ for
 246 10.0–29.9 cm DBH; and 1.3, 18.2, 96.4, 22.5, and 39.2, respectively, of the 177.6 m^3
 247 ha^{-1} for $\text{DBH} \geq 30 \text{ cm}$ (Fig. SM4). The stand volume of mature trees accounted for
 248 61.8% of the total stand volume.

249

250 Fig.



251

SM4 – Stand volume distribution by tree grades and DBH classes

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253 Table SM4 – Aboveground and belowground carbon stocks by DBH classes and
 254 tree grades

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DBH (cm) Class	5–9.9	10–29.9	≥30	Total	Percentage (%)
Tree Grade					
GLT	0.7	1.0	0.9	2.6	1.5
G1T	0.5	1.9	12.8	15.3	8.9
G2T	1.3	10.3	67.9	79.6	46.2
G3T	1.5	10.0	15.8	27.3	15.8
OGT	4.2	15.6	27.6	47.4	27.5
Carbon Stock (t C ha ⁻¹)	8.2	38.9	125.1	172.2	100.0
Carbon Stock (t CO ₂)	30.1	142.8	459.1	632.0	

256

257 According to Cambodia’s Sub-Decree 050 on timber harvesting, 30–50% of the stand
 258 volume of mature trees (except GLT) shall be harvested, depending on the proportion of
 259 mature trees in the forests concerned. The forest inventory officer will decide the rate of
 260 harvesting based on the proportion of harvestable (mature) trees in the concerned forests.
 261 Due to illegal logging in the study site during the civil wars, some large trees must have
 262 been logged, and we therefore assumed that 30% of mature stands are harvested on a
 263 25-yr cutting cycle, which is consistent with the assumption of Kim et al. (2006) and
 264 Sasaki (2006).

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266 In order to calculate the taxes on exported wood products, i.e., sawn wood or veneer, we
 267 estimated the proportion of harvested wood (HW) processed at the sawmills. Based on
 268 several studies (Sist and Sridan, 1998; FAO, 2001; Holmes et al., 2002), about 20–40%
 269 of HW is wasted due to skidding, trimming, and transporting, and therefore only about
 270 60–80% is available at the sawmills for further processing. For this study, we assumed

271 that 30% of the HW is wood waste, and the remaining (termed as wood product or WP)
 272 70% is further processed for end-use products (i.e., sawn wood or veneer). G1T and
 273 G2T are usually processed for veneer products at a conversion rate of 54% (Kim Phat,
 274 1999), while the other grades are used for sawn wood at a conversion rate of 49% (Kim
 275 Phat, 1999).

276

277 Table SM5 – Estimated values for harvested wood (HW), sawn wood (SW), and veneer
 278 wood (VW) ($\text{m}^3 \text{ha}^{-1}$)

Timber grades	Standing Volume of Mature Trees (MS)	HW (30% cut)	WP (=HW×0.70)	SW (=WP×0.54)	VW (=WP×0.49)
GLT	0.79	0.24	0.17	0.08	
G1T	14.5	4.35	3.05	–	1.64
G2T	87.47	26.24	18.37	–	9.92
G3T	16.4	4.92	3.44	1.69	–
OGT	31.88	9.56	6.69	3.28	–
Total	151.04	45.31	31.72	5.05	11.56

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280

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